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METHOD AND DEVICE FOR ENCRYPTION
AND DECRYPTION ON THE FLY

TECHNICAL FIELD

5   This invention concerns a method and a device to secure an electronic assembly implementing a program using confidential data to be protected. More precisely, the purpose of the method is to propose a defence to protect said data during sensitive operations carried out in several steps. The breakdown into successive steps of sensitive operations may make said data

10   vulnerable to some attacks. The term attack is understood to be any means or device used to recover the data between each operation by modifying the execution (non execution or incorrect execution) of all or part of the program, for example.

15   A problem caused by this invention is the vulnerability of confidential data likely to be found by attacks on the electronic assembly handling it.

Another problem caused is the reception of said data in several steps. At each step all or some of said data is transmitted to the electronic assembly,

20   which increases its vulnerability.

The purpose of this invention is to minimise the vulnerability of the data processed in an electronic assembly.

25   There is a price to be paid in setting up such a security mechanism (in terms of time, scale and/or complexity of the mechanism, etc.). The purpose of this invention is to offer a safe and inexpensive solution.

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SUMMARY OF THE INVENTION

This invention concerns a method to ensure the security of encrypted data transmitted in blocks to an electronic assembly in several steps characterised in that it consists, when said assembly receives a block, in decrypting the block received, processing the information contained in said block and in encrypting the information processed.

This invention also concerns a device to ensure the security of an electronic assembly, the electronic assembly as such and the program executing the steps in the method.

BRIEF DESCRIPTION OF THE DRAWINGS

Other purposes, features and advantages of the invention will appear on reading the description which follows of the implementation of the method according to the invention and of a mode of realisation of an electronic system designed for this implementation, given as a non-limiting example, and referring to the attached drawings in which:

Figure 1 is a diagram illustrating the various steps of one form of realisation of the method according to the invention;

Figure 2 is a diagrammatic representation of a normal method to process data received in several steps in an electronic assembly without implementing the device according to this invention, the assembly suffering no attack;

Figure 3 is a diagrammatic representation of a normal method to process data received in several steps in an electronic assembly without implementing the device according to this invention and in the presence of an attack;

Figure 4 is a diagrammatic representation of the security method according to this invention in an electronic assembly suffering no attack;

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Figure 5 is a diagrammatic representation of the security method according to this invention in an electronic assembly suffering attack;

Figures 6, 7 and 8 show diagrammatically the useful information of various data blocks likely to be received by an electronic assembly;

5   Figure 9 represents an example of data transmitted to an electronic assembly as blocks;

Figures 10 to 12 give a diagrammatic representation, according to an example of data reception in three steps, of the various phases of one form of realisation of the method according to this invention represented on figure

10   1;

Figure 13 is a diagram illustrating the various steps of another form of realisation of the method according to the invention;

Figures 14 and 15 give a diagrammatic representation, according to an example of data reception of which only two steps have been illustrated, of

15   the various phases of the form of realisation of the method according to this invention represented on figure 13.

WAY OF REALISING THE INVENTION

20   The objective of the method according to the invention is to secure a system and more precisely an electronic assembly and, for example, a portable object such as a smart card which uses sensitive encrypted data transmitted to the assembly in several steps. The electronic assembly includes information processing means such as a processor and information storage

25   means such as a memory.

As a non-limiting example, the electronic assembly described below corresponds to a portable object comprising an electronic module. This type of module is generally realised as a monolithic integrated electronic

30   microcircuit, or chip, which once physically protected by any known means can be assembled on a portable object such as for example a smart card, integrated circuit card or other card which can be used in various fields.

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The microprocessor electronic module comprises, for example, a microprocessor CPU with a two-way connection via an internal bus to a non volatile memory of type ROM, EEPROM, Flash, FeRam or other containing a
5   program to be executed, a volatile memory of type RAM, input/output means I/O to communicate with the exterior.

According to an example of this invention, the card is a smart card equipped with information processing and storage means, including a functional
10   module known under the abbreviation "SIM" (Subscriber Identity Module). The SIM card communicates and exchanges data with its host terminal, the mobile telephone, the telephone sending commands which the SIM card must answer. These commands are formatted according to the APDU (Application Protocol Data Unit) and allow, amongst other things, data
15   transfer. The APDU commands may be chained commands and can transfer data in several transmissions.

According to another example, the card is a bank card receiving chained APDU commands.
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This invention applies to any type of card likely to receive sensitive data as chained commands transferred in several transmissions.

This invention concerns the handling of sensitive data such as, for example,
25   keys received by said system in several transmissions. As shown on figure 1, phase 1 of the method therefore consists in receiving some of this data. The security method according to this invention ensures the confidentiality of this data upon reception by encrypting it (phase 4, figure 1) after decrypting it (phase 2, figure 1), analysing and processing it (phase 3, figure 1). The
30   encrypted data is added to the encrypted data of the previous block received (concatenation of encrypted data). According to one form of realisation, the

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data is decrypted, analysed and processed, encrypted before processing the next block received.

The data received are first decrypted then encrypted internally in the device.

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The method according to this invention consists in extracting and analysing before encryption, but upon reception, all the information contained in the data required to continue the processing and in using the extracted information to format the data in its final form. The data received is formatted

10   for future use. Protecting the data in this way must not make it more difficult to use. The data may have to be formatted before it is secured. Formatting may consist, for example, in adding padding, inverting the data or deleting unnecessary information, etc.

15   The method according to this invention is used to extract and handle the data at each reception step, thereby limiting the time to process and handle the sensitive data.

According to one form of realisation, the attacks are made more difficult since

20   the processing operations (formatting, encryption, etc.) are carried out before receiving the next data (phase 5). All or some of the data received is therefore protected before continuing the process.

Encryption is an additional protection to "scrambled" writing. Some devices

25   can "scramble" the memory, i.e. encrypt it. With this feature, the data stored in memory still has to be encrypted, however. This "scramble" mechanism stops the data from being read from the outside but not from being "diverted" from an internal read routine. The additional encryption may also prove to be more robust.

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A priori, not all the information required for the data processing (for the formatting, in particular) is known. Various items of information must be

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extracted "on the fly" during processing. Data encryption will therefore depend on the data analysis which will be carried out when the data is received and processed.

5   Firstly, the principle of the method according to the invention is described for each processing step. Secondly, the mechanisms set up, what they provide and what makes them different from existing mechanisms, will be developed and explained.

10   In figures 2 to 5, 9 to 12, 14 and 15, the black rectangles designate the data blocks received and the hatched rectangles the blocks of re-encrypted data.

As shown on figure 2, the data is transmitted in segments. In each step (1st, 2nd and 3rd steps on figure 2), the electronic assembly receives some of the
15   data. The known data processing method in an electronic assembly comprises the following phases:

> Phase 1: Data reception.
> Phase 2: Data processing.
20   > Phase 3: Data encryption.

Figure 2 demonstrates the fact that the data processing and encryption are only carried out when all the data has been received, i.e. after the third data reception step.
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Figure 3 illustrates the vulnerability of the data when an electronic assembly not equipped with a device according to this invention is attacked.

Each phase takes place according to the diagram of figure 2. During data
30   reception in the 2nd step, however, the electronic assembly is attacked. The attack may result either in incorrect processing or an interruption in the data processing. Generally, incorrect processing may allow partial or total

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disclosure of the data during this processing or during the future use of the data.

To overcome this problem, the electronic assembly is equipped with a device according to this invention. The data processing method according to one form of realisation of the invention is shown on figure 4. In each step, upon receiving the data, said data is processed (i.e. extraction phase, formatting, etc.) and immediately re-encrypted. In this case, we have only one phase which corresponds to the entire mechanism.

Figure 5 demonstrates the advantages provided by the method according to this invention when faced with an attack during the second step. The attack fails to obtain information about the processed data, since this data was immediately re-encrypted in the first and second steps. The attack has no impact on the data processing and does not interrupt correct execution of the application.

Numerous constraints may arise due to the fact that the data is received in successive sets. For example, according to the algorithm used for decryption or encryption, additional problems may occur.

The problems encountered and then the solution provided by this invention are described below.

The following additional problems may be encountered:
- the data from the reception of successive data groups, e.g. by chained APDU, is segmented: the size of each of these data groups, however, does not necessarily correspond to the size of the blocks processed by the encryption algorithm used internally by the electronic assembly;
- some of the data received will not be kept, since it is only required for the formatting of this data; according to this invention, the useful information is extracted before processing starts;

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- the format of the input data involves different lengths;
- the hardware implementation of a particular mechanism (in this case RSA) may involve special processing operations;
- the encryption algorithms used internally may require a padding calculation: padding consists in adding one or more bits to a message so that the message contains a constant multiple of the number of bits required by a cryptographic algorithm.

These points are described in more detail below.

The first point concerns the segmentation of the data received, imposed by the cryptographic algorithm used.

The data received is encrypted. In the first data processing carried out by the cryptographic algorithm used (the Triple DES algorithm in the example described), the data must be handled in blocks of 8 bytes. During each data reception, however, (e.g. reception of chained commands) the sets of data received (each APDU received) comprise x block(s) of 8 bytes (x ranges from 0 to 32), and x residual byte(s). This breakdown in input is known as segmentation; each unit of this breakdown is known as a segment. This segmentation is not related to the steps but corresponds in our example to an additional breakdown.

The second point concerns the presence of useful and non-useful data.

During the reception of each data block, said block is decrypted then processed. Within each data block, not all of the data is necessarily useful. The data which will not be re-encrypted is considered as non-useful. As a non-limiting example, during the reception of an encrypted message, the parts corresponding to a tag, a length, a header and/or padding are considered as non-useful data.

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According to a first example illustrated on figure 6, during the reception of a block, the parts corresponding respectively to tag (T) and to length (L) are not considered as useful data. According to this invention, during encryption, this data will not be taken into account.

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According to a second example illustrated on figure 7, during the reception of chained commands, a "non-useful" part may appear in the middle of a block. According to this invention, during encryption, this part is not taken into account.

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According to a third example illustrated on figure 8, during data reception, the data may include padding (for example, so that the number of data bytes is a multiple of 8). The padding may be in the middle of the data but more generally at the end of the data (these two types of padding may be

15   combined). According to this invention, during encryption, the padding is not taken into account.

The third point concerns the variable lengths of the data received.

20   During block reception(s), the length of the data to be decrypted and the length of the data to be encrypted are not necessarily known. With a key for example, the total length of the data may be known, but not the length of each element forming the key (P, Q, dP, dQ and PQ).

25   The fourth point concerns the hardware implementation used which requires special processing operations.

With the hardware implementation of the RSA algorithm used, it may be necessary to invert the most significant (MS) and the least significant (LS)

30   bits during data encryption. This processing is carried out before data encryption.

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The fifth point concerns the problem of the padding bits. The number of padding bits to be added to the data received may have to be calculated before re-encrypting the data, depending on the encryption algorithm used.

5   In conclusion, all these problems and constraints can be combined together. They involve handling operations which are costly in terms of time, code and memory space. In addition, the data which is decrypted then re-encrypted must remain unencrypted for as little time as possible to minimise its vulnerability to attack.

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The problem is to be able to manage and reduce the above constraints in order to optimise the time to process the sensitive data and secure the mechanisms implemented.

15   The method according to this invention in a first form of realisation is described below.

As shown on figure 9, the data is received in segments (three segments in the example illustrated) separated by a break. The segments have variable

20   lengths and consist of "useful" and "non-useful" data. In this case, the length and the padding are non-useful data. A block consists of all the data received during each step.

According to the method of this invention and as illustrated on figure 10,

25   when the first block is received, the data is decrypted and analysed. The length Lp representing the non-useful data is extracted from the data block received. The resulting useful data is encrypted in 8-byte segments (P'c), this segmentation being imposed by the encryption algorithm used in this example. The result is a set P'nc of less than 8 bytes, which can therefore

30   not form an 8-byte segment required for encryption.

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At the end of the first step, the processing of the first block leads to a length Lp extracted and not encrypted, to a set of encrypted 8-byte segments P'c and to a set of less than 8 bytes not encrypted P'nc.

5   The reception and processing of the second block are represented on figure 11. As seen previously, the second block consists of a set of bits P'' and of another set Q' separated by a length Lq. According to the method of the invention, the data is therefore decrypted. After analysing the data, the length Lq is extracted from the decrypted block received. The resulting data to
10   which is added the set P'nc of the previous step is encrypted in 8-byte segments. A set of less than 8 bytes Q'nc remains which, as in the first step, is not encrypted. The encrypted set calculated is added to the encrypted set P'c of the first step.

15   Figure 12 illustrates the third and last step, reception and processing of the last segment. The method takes place in the same way. In this case, the non-useful data extracted is the padding. The set of data received to which is added the non-encrypted part Q'nc of the second step forms a set of 8-byte segments. The final result therefore represents the encryption of P and Q.
20   This encryption takes place as the data is received rather than waiting until all the data P and Q has been received and then encrypting it all at the same time.

Figures 13 to 15 represent the various steps of the method according to the
25   invention in another form of realisation.

The method comprises the same steps as in the previous form of realisation, plus additional steps, data inversion and padding calculation, as illustrated on the diagram of figure 13. As shown on figures 14 and 15 therefore, whenever
30   a block is received, during the data processing, the data is inverted before decryption depending on the cryptographic algorithm used. Since the data is inverted, it is processed from the right to the left and padding will also have to

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be calculated, if necessary. If, for example, the length of the data P received, i.e. Lp, is 18 bytes and the algorithm used by the portable object can only handle data whose length is a multiple of 8 bytes, the method according to the invention adds 6 padding bytes to obtain three sections of 8 bytes. As shown on figure 14, if the length of data received P' is 10 bytes, the method according to the invention isolates in P' a set of data of 2 bytes long which it adds to 6 padding bytes to obtain a block P'c of 8 bytes and a remaining block P'nc of 6 bytes.